



Colman | Knight

Integral Wealth Advisory Services

# INTEGRAL WEALTH QUESTIONNAIRE

Confidential

## Thank you for considering a journey in Integral Wealth with us.

Living a wealthy, abundant life involves many facets: money, leisure time, meaningful work, good health, and relationships. Your inner relationship with wealth is as important as its outer manifestation. Let us begin with your current financial status. If you have any questions about this material, please contact us. When you've completed the questionnaire to the best of your ability, please forward it, along with the copies of the financial documents listed below, to [admin@colmanknight.com](mailto:admin@colmanknight.com) or Colman Knight Advisory Group, LLC, 18 Audubon Lane, Carlisle, MA 01741.

### DOCUMENT CHECKLIST

The following documents help us gain a better picture of your current financial situation. If possible, please forward the most recent information from the applicable categories.

_____ Brokerage statements _____ Mutual fund statements _____ IRA statements _____ Retirement plan statements _____ Employee retirement benefits information and latest statement _____ Limited partnership prospectuses and financial projections _____ Last two years of financial statements of closely held businesses _____ Copies of business buy sell agreements and other agreements/contracts _____	_____ Latest paystub(s) _____ Copies of the last two years tax returns (Federal & State) _____ Lease agreements _____ Promissory notes, loan documents _____ Insurance documents (life, disability, health, automobile, homeowners, liability, long-term care) _____ Trust agreements _____ Divorce decrees _____ Wills and all codicils _____
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Date:

	YOU	SPOUSE/PARTNER (if applicable)
<b>Name:</b>		
Birth date:		
US Citizen?		
Residential Address:		
Home Phone:		
Mobile Phone:		
Occupation:		
Personal Email:		
Employer:		
Business Address:		
Business Phone:		
Work email:		
Facebook:		
Twitter:		
LinkedIn:		

If married, have you ever lived in one of the following states during your marriage? If yes, please check the state(s).

ARIZONA

LOUISIANA

TEXAS

CALIFORNIA

NEVADA

WASHINGTON

IDAHO

NEW MEXICO

WISCONSIN

**YOUR MAJOR FINANCIAL PLANNING GOALS/OBJECTIVES**

Below is a list of common financial goals and objectives. Please check the ones that are most important to you.

Accumulate assets for a comfortable retirement.

Ensure property and casualty insurance protection is sufficient.

Provide education funds for my children/grandchildren.

Reduce estate taxes with an effective estate plan.

Minimize personal income taxes.

Enact charitable giving/philanthropy.

Develop an appropriate investment strategy.

Implement stewardship/legacy planning.

Provide adequate income upon death or disability.

Clarify cash flow and spending plan.

Coordinate business and personal finances.

Integrate sustainability ethic in investing and planning.

Optimize career and human capital.

**CHILDREN/GRANDCHILDREN**

NAME	DATE OF BIRTH	SELF SUPPORTING OR DEPENDENT	CURRENT YEAR OF GRADE SCHOOL OR COLLEGE	ESTIMATED ANNUAL COSTS		
				PRIVATE SCHOOL	COLLEGE UNDERGRAD	COLLEGE POSTGRAD

If additional space is needed, please use "NOTES" page in the back of this questionnaire.

Please provide the details of existing assets in your children's (grandchildren's) names which are earmarked for education.

NAME	SOURCE	VALUE

**PARENTS**

	YOU	SPOUSE/PARTNER IF APPLICABLE
Father still living?		
Mother still living?		
Are your parents financially independent?		
Do your parents own LTC insurance?		
Other relevant information:		

**LIFESTYLE CHANGES**

Over the next 5-10 years, do you plan any changes in:

Geographic Area  
Career

Home Ownership  
Corporate Ownership

Employer/Company  
Working/Leisure/Volunteer hours

If yes, please describe:

Your desired age of retirement?

Your spouse/partner's?

**ASSETS**

As indicated on page one, please provide the most recent statements for the following categories. If for any reason you cannot provide a current statement, provide the current dollar value of each category that applies to your situation.

ASSETS	OWNERSHIP			ORIGINAL COST	COST OF IMPROVEMENTS	CURRENT MARKET VALUE
	YOU	SPOUSE/ PARTNER	JOINT			
Primary Residence						
Second Home						

ASSETS	YOU	YOUR SPOUSE/PARTNER	JOINT
Home Furnishings			
Automobiles			
Clothing/Furs			
Boat, Plane, RV, etc.			
Cash/Savings			
Money Market Funds			
Certificates of Deposit			
Treasury Bills, Notes, Bonds			
Municipal Bonds			
Corporate Bonds			
Bond Mutual Funds			
Annuities			
Stock Mutual Funds			
Individual Stocks			

ASSETS	YOU	YOUR SPOUSE/PARTNER	JOINT
Non-Residential Real Estate (Current Market Value)			
Limited Partnerships (Capital contributions to date or market value)			
Defined Contribution Plans (401(k), Profit-sharing, Stock Bonus, 403(b), 457)			
Defined Benefit Plans (Pensions)			
Other Tax-Advantaged Plans (SEP, SARSEP, SIMPLE)			
Deferred Compensation Plans (SERP, 401(k) Wrap, Stock Option, Stock Plan)			
Personal Retirement Plans: (IRAs, Keogh)			
Commodities			
Precious Metals			
Antiques			
Other			
Business Interests:			
Business Name(s)			
Entity Structure: (Corp, S-Corp, LLC, Partnership, Sole Proprietor)			
Liquidation Value:			
% Owned By You:			

**LIABILITIES**

LIABILITIES	ORIGINAL AMOUNT BORROWED	TYPE OF LOAN (FIXED, VARIABLE)	INTEREST RATE	LENGTH OF LOAN	DATE 1 <sup>ST</sup> PAYMENT	MONTHLY PAYMENT AMOUNT
Personal Residence						
Other Real Estate, describe:						
Home Equity Loans						
Personal Loans (Indicate type)						
Automobiles (Indicate which vehicle)						
Other (Indicate type)						
Business Expenses						
Investment/Debt Obligations (e.g. limited partnership)			Annual Commitment			

**CASH FLOW  
FOR THE MOST RECENT FULL CALENDAR YEAR**

ANNUAL INCOME	YOU	YOUR SPOUSE	ANNUAL INCOME	YOU	YOUR SPOUSE
Salary			Annuity Income		
Bonus			Social Security		
Dividends			Pension Income		
Rental income			Royalties		

Do you anticipate any substantial income or cash/asset distribution other than that listed above (i.e. inheritance, legal settlements, distribution from a qualified plan)? If so, please provide the estimated date, source and anticipated amount.

**ESTIMATED ANNUAL LIFESTYLE EXPENSES**

Please attach a report of monthly or annual expenses from your financial software package (e.g. Quicken) or use this chart to specify your lifestyle expenses. Estimate monthly or annual expenditures, whichever is easier.

LIFESTYLE EXPENSES	ESTIMATED MONTHLY	ESTIMATED ANNUALLY
Food/Groceries		
Clothing		
Education for you and/or your spouse		
Entertainment		
Vacations/Trip/Camp		
Gifts/Birthdays		
Transportation/Auto Maintenance		
Medical Expenses I pay		
Health insurance		
Homeowners Insurance		
Liability Insurance		
Life Insurance (paid by you)		
Home Maintenance		
Rent		
Telephone, cellular phones, cable, internet		
Miscellaneous Household Purchase		
Day Care		
Domestic Help		
Miscellaneous Living Expenses		

Note: There is no need to list deductible expenses above since they can be obtained from your most recent tax return.

**FUTURE EXPENDITURES**

Do you anticipate any extraordinary expenditures in the future (e.g. purchase of a boat or plane; home additions or improvements, vacation home, gifts, etc.?) If so, please estimate the nature and amount of expenditures.

EXPENDITURE	ESTIMATED COST	APPROXIMATE DATE OF PURCHASE

## **WEALTH AND YOUR LIFE**

In addition to the traditional ways of establishing and measuring wealth, Integral Wealth takes a broader view and approach; we also consider your inner attitudes toward wealth and fulfillment of your life purpose. While these concepts may seem unusual to include in a financial questionnaire, we hope that you can trust our process. If you are willing, please complete the following sentences at a time when you are as free of distractions as possible.

Money is...

The messages about money that I inherited in my childhood are...

My relationship with money since adulthood has been...

I feel that I have enough money when ...

I make a difference in the world by...

After I am gone, I hope that future generations will remember me as...

If you are married or have a life partner, each member of the couple can answer these questions separately. Thank you for taking the time to complete this questionnaire. Your efforts assist us to gain a complete understanding of your current situation, and enable us to better serve you in your journey forward.



**NOTES**

(Please specify related category)