

COLMAN KNIGHT ADVISORY GROUP, LLC

Privacy Statement

February 4, 2025

Colman Knight Advisory Group respects your right to privacy. Your privacy is important to us, and maintaining your trust and confidence is one of our highest priorities. We continue to be committed to secure the confidentiality and integrity of your personal information. We are proud of our privacy practices and want our current and prospective customers to understand what information we collect and how we use it.

Why We Collect Your Information

We gather information about you and your accounts so that we can (i) know who you are and thereby prevent unauthorized access to your information, (ii) design and improve the services we offer and (iii) comply with the laws and regulations that govern us. In providing financial services to you we collect certain non-public personal information about you. Our policy is to keep this information strictly safeguarded, and to use or disclose it only as needed to provide services to you, or as permitted by law. Protecting your privacy is important to us.

Information We Collect About You

The non-public personal information we may have about you includes what you provide to us when opening an account or communicate with us. We may collect the following types of non-public personal information about you:

- Information about your identity, such as your name, address and social security number;
- Information about your transactions with us, our affiliates, or others;
- Information we may receive from you such as your beneficiaries or income.

Sources We Obtain Your Information

We collect nonpublic personal information about Colman Knight Advisory Group clients such as you from the following sources:

- Information we receive from you on applications or other forms;
- Information about your transactions with us, our affiliates, or others, and
- If you visit our web site, information we collect via a web server, often referred to as a "cookie." Cookies indicate where a site visitor has been online and what has been viewed.

Information We Disclose

We do not disclose any nonpublic personal information about our customers or former customers to anyone, except as permitted by law. Moreover, we will not release information about our customers or former customers unless one of the following conditions is met:

- We receive your prior written consent.
- We believe the recipient to be you or your authorized representative.
- We are required by law to release information to the recipient.

SMS/Phone number consent will not be shared with third parties for marketing purposes.

We only use information about you and your account to help us better serve your investment needs or to suggest services or educational materials that may be of interest to you.

Safeguards - Confidentiality & Security

Colman Knight Advisory Group has procedures in place that we believe are reasonably designed to protect the security and confidentiality of your information. These include confidentiality agreement with



companies we hire to help us provide services to you, password-protected user access to our computer files and strict confidentiality policies that apply to all personnel.

We maintain physical, electronic and procedural safeguards to guard your personal account information. We also restrict access to your personal and financial data to authorized Colman Knight Advisory Group associates who have a need for these records. We require all nonaffiliated organizations to conform to our privacy standards and are contractually obligated to keep the information provided confidential and used as requested. Furthermore, we will continue to adhere to the privacy policies and practices described in this notice even after your account is closed or becomes inactive.

We will continue to conduct our business in a manner that conforms with our pledge to you, your expectations and all applicable laws.